

Receipt



ATTORNEY DOCKET NO. 26530.18 (IDR-445)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Daniel Burton, et al.

Serial No.: 09/685,238

Filed: October 10, 2000

For: SYSTEM AND METHOD FOR SHARING  
FILES VIA A USER INTERNET FILE  
SYSTEM

§  
§  
§  
§  
§  
§  
§  
§  
§

Art Unit: 2152

Examiner: Unknown

RECEIVED

APR 25 2001

Technology Center 2100

REQUEST TO CORRECT FILING RECEIPT

Commissioner for Patents  
Washington, D.C. 20231  
Attention: Office of Initial Patent Examination's  
Customer Service Center

Dear Sir:

Attached is a copy of the official filing receipt received from the U.S. Patent and Trademark Office in the above-identified patent application in which issuance of a corrected filing receipt is respectfully requested.

According to the Utility Patent Application Transmittal and cover sheet of the application originally mailed to the USPTO on October 10, 2000 (copies enclosed), the filing receipt should reflect the first inventor's name as Daniel Burton. The first name should be changed from "Daneil" to Daniel.

The correction is not due to any error by applicants and therefore no fee is due.

Respectfully submitted,

David L. McCombs  
Registration No. 32,271

Date: 1-24-01  
HAYNES AND BOONE, LLP  
901 Main Street, Suite 3100  
Dallas, Texas 75202-3789  
Telephone: 214/651-5533  
Facsimile: 214/651-5940  
File: 26530.18

D-860719.1

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, Attention: Office of Initial Patent Examination's Customer Service Center

on

1-24-01  
Dayle Corne



Please type a plus sign (+) inside this box → ☒

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

|  |  |
|--|--|
| Attorney Docket No.                      | 26530.18   |
| First Inventor or Application Identifier | Daniel Burton  |
| Title                                    | System and Method for Sharing File Via A User Internet File System |
| Express Mail Label No.                   | EL417819563US  |

|  |   |
|--|---|
| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application contents.  | <b>ADDRESS TO:</b><br>Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231  |
| 1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17)<br>(Submit an original and a duplicate for fee processing)   | 5. <input type="checkbox"/> Microfiche Computer Program (Appendix)  |
| 2. <input checked="" type="checkbox"/> Specification [Total Pages 13]<br>(preferred arrangement set forth below) <ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> | 6. Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary) <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Copy</li><li>b. <input type="checkbox"/> Paper Copy (identical to computer file)</li><li>c. <input type="checkbox"/> Statement verifying identity of above copies</li></ul> |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 4]   | <b>ACCOMPANYING APPLICATION PARTS</b>   |
| 4. Oath or Declaration [Total Pages ] <ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d))<br/>(for continuation/divisional with Box 16 completed)<ul style="list-style-type: none"><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</li></ul></li></ul>                                   | 7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))  |
| <b>* NOTE FOR ITEMS 1 &amp; 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).</b>   | 8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee) <input type="checkbox"/> Power of Attorney   |
|  | 9. <input type="checkbox"/> English Translation Document (if applicable)  |
|  | 10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations   |
|  | 11. <input type="checkbox"/> Preliminary Amendment  |
|  | 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)   |
|  | 13. <input type="checkbox"/> * Small Entity Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)  |
|  | 14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)  |
|  | 15. <input checked="" type="checkbox"/> Other: Express Mail Certificate   |

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

|  |   |   |
|--|---|---|
| <input type="checkbox"/> Customer Number or Bar Code Label | (Insert Customer No. or Attach bar code label here) | or <input checked="" type="checkbox"/> Correspondence address below |
| Name   | David L. McCombs<br>Haynes and Boone, L.L.P.        |   |
| Address  | 901 Main Street, Suite 3100                         |   |
| City   | Dallas  | State Texas Zip Code 75202-9918                                     |
| Country  | USA   | Telephone 214-651-5533 Fax 214-651-5940                             |

|                   |                  |                                   |          |
|-------------------|------------------|-----------------------------------|----------|
| Name (Print/Type) | David L. McCombs | Registration No. (Attorney/Agent) | 32,271   |
| Signature         |                  | Date                              | 10-10-00 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

d624475.1

**FILE COPY**

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 3339

|  |   |                               |   |  |
|--|---|-------------------------------|---|--|
| <b>SERIAL NUMBER</b><br>09/685,238   | <b>FILING DATE</b><br>10/10/2000<br><b>RULE</b>   | <b>CLASS</b><br>709           | <b>GROUP ART UNIT</b><br>2152   | <b>ATTORNEY DOCKET NO.</b><br>26530.18 (IDR-445) |
| <b>APPLICANTS</b><br>Daniel Burton, Eagle Mountain, UT;<br>David Mitchell, Orem, UT;<br><br><b>** CONTINUING DATA *****</b><br><br><b>** FOREIGN APPLICATIONS *****</b>  |   |                               |   |  |
| <b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 12/12/2000</b>   |   |                               |   |  |
| Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no<br>35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance<br>Verified and Acknowledged _____<br>Examiner's Signature Initials |   | <b>STATE OR COUNTRY</b><br>UT | <b>SHEETS DRAWING</b><br>4  | <b>TOTAL CLAIMS</b><br>34                        |
| <b>INDEPENDENT CLAIMS</b><br>8   |   |                               |   |  |
| <b>ADDRESS</b><br>David L McCombs<br>Haynes and Boone LLP<br>901 Main Street Suite 3100<br>Dallas ,TX 75202-9918   |   |                               |   |  |
| <b>TITLE</b><br>System and method for sharing files via a user internet file system  |   |                               |   |  |
| <b>FILING FEE RECEIVED</b><br>1362   | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: |                               | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees ( Filing )<br><input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )<br><input type="checkbox"/> 1.18 Fees ( Issue )<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |  |



Patent Application  
Docket No.: 26530.18 (IDR-445)

**SYSTEM AND METHOD FOR SHARING FILES VIA A USER INTERNET FILE  
SYSTEM**

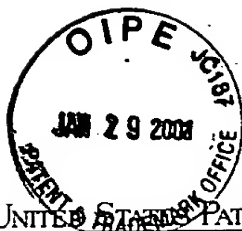
**RECEIVED**  
**APR 25 2001**  
**Technology Center 2100**

Inventors: Daniel Burton  
2441 Ranch Road  
Eagle Mountain, Utah 84043  
Citizen of The United States of America

David Mitchell  
357 West 205 North  
Orem, Utah 84057  
Citizen of The United States of America

Assignee: Novell, Inc.  
122 East 1700 South  
Provo, Utah 84606

HAYNES AND BOONE, L.L.P.  
3100 Nations Bank Plaza  
901 Main Street  
Dallas, Texas 75202-3789  
(214) 651-5000



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO       | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------------|----------|------------|------------|
| 09/685,238         | 10/10/2000  | 2152         | 1362          | 26530.18<br>(IDR-445) | 4        | 34         | 8          |

David L McCombs - *Att.*  
Haynes and Boone LLP  
901 Main Street Suite 3100  
Dallas, TX 75202-9918

RECEIVED  
DEC 14 2000  
APR 25 2001

## FILING RECEIPT



\*OC000000005615920\*

Haynes & Boone  
Technology Center 2100

Date Mailed: 12/13/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Daneil Burton, Eagle Mountain, UT ;  
David Mitchell, Orem, UT ;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 12/12/2000

| CODE | DATE    | ACTION                               |
|------|---------|--------------------------------------|
| IDS  | 1.10.01 | PREPARE IDS                          |
| FFL  | 4.10.01 | PREPARE FOREIGN FILING CLIENT LETTER |

BY: TRB 12/28/00

## Title

System and method for sharing files via a user internet file system

## Preliminary Class

709

Data entry by : NIMMONS, EVELYN

Team : OIPE

Date: 12/13/2000



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

***This Page Blank (uspto)***